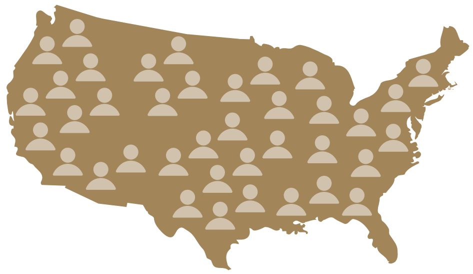
7 Questions

To Ask a Financial Advisor Before You Hire Them

THE Princeton GROUP



THERE ARE **330,300** FINANCIAL ADVISORS IN THE U.S AS OF MARCH 2023[1]

BUT ONLY **1** YOU

Before trusting someone with your wealth, have them answer these questions to make sure they're a good fit.

RELATIONSHIPS

1 **What will our relationship look like?** Their formal portfolio review schedule and (un)willingness to meet with *you* are signs of how you might be treated.

2 **How many clients do you have?** Some financial advisors have hundreds of clients. You might find that appealing, but you might also want someone with more time to devote.

EXPERIENCE

3 **What certifications or designations do you hold?** These may show an advisor's commitment to expanding their knowledge. Have them explain the completed requirements and how you benefit.

4 **Are you experienced advising someone in my situation?** Finding an advisor with book smarts *and* real-world experience helping clients like you can be highly desirable.

MONEY

5 **What's your investment philosophy?** A financial advisor's stance on asset allocation and risk vs. reward can be telling.

6 **How will you prepare me for a worst case scenario?** A financial advisor is supposed to anticipate and help prevent mistakes you could make yourself.

7 **How do you get paid?** This is arguably the biggest question of all, so make sure you under-stand. It's your money, after all.

These questions cover the basics, but you'll certainly have more as you decide if a financial advisor is right for you. **At The Princeton Group, you can ask us anything: theprincetongrp.com**

1. Source: US Bureau Labor Statistics, https://www.financestrategists.com/financial-advisor/advisor-types/how-many-financial-advisors-are-in-the-us/

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